# JC06 Rec'd PCT/PTO 11 AUG 2005

|  |             | •   |   |   |  |  |  |
|--|-------------|---|---|---|--|--|--|
| FORM   | PTO-13      | 90 (Modified) U.S. PATENT AND TRADE   | ARK OFFICE; U.S. DEPARTMENT OF COMMERCE         | ATT JEY'S DOCKET NUMBER                                 |  |  |  |
| (14.2  |             | ANSMITTAL LETTER  | PA0258  |   |  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  U.S. APPLICATION NO. (If known, see 37 C |             |   |   |   |  |  |  |
| (  | CON         | ICERNING A SUBMISS  | 10/523,305                                      |   |  |  |  |
| INTE   | RNAT        | IONAL APPLICATION NO.   | INTERNATIONAL FILING DATE                       | PRIORITY DATE CLAIMED                                   |  |  |  |
|  |             | CT/GB2003/003197  | 28 July 2003                                    | 30 July 2002  |  |  |  |
| STIM   | 1ULA        | NVENTION<br>TING AND RECORDING DEV  | /ICE  |   |  |  |  |
| 1  |             | T(S) FOR DO/EO/US   |   |   |  |  |  |
| CON  | LEY,        | Edward C.   |   |   |  |  |  |
|  |             | · · · · · · · · · · · · · · · · · · ·   |   |   |  |  |  |
| Applic   | cant h      | erewith submits to the United State   | es Designated/Elected Office (DO/EO/US) the     | following items and other information:                  |  |  |  |
| 1.   |             | This is a FIRST submission of ite   | ms concerning a submission under 35 U.S.C.      | 371.  |  |  |  |
| 2.   | $\boxtimes$ | This is a SECOND or SUBSEQU   | ENT submission of items concerning a submis     | ssion under 35 U.S.C. 371.                              |  |  |  |
| 3.   |             | This is an express request to beg (9) and (24) indicated below.   | in national examination procedures (35 U.S.C    | 2. 371(f)). The submission must include items (5), (6), |  |  |  |
| 4.   |             | The US has been elected (Article  | 31).  |   |  |  |  |
| 5.   |             | A copy of the International Applic  | ation as filed (35 U.S.C. 371 (c)(2))           |   |  |  |  |
|  |             | a.  is attached hereto (requ  | rired only if not communicated by the Internat  | ional Bureau).  |  |  |  |
|  |             | b.  has been communicate  | d by the International Bureau.                  |   |  |  |  |
|  |             | c. is not required, as the a  | pplication was filed in the United States Recei | iving Office (RO/US).                                   |  |  |  |
| 6.   |             | ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |  |  |  |
|  |             | a.  is attached hereto.   |   |   |  |  |  |
|  |             | b.   has been previously sul  | omitted under 35 U.S.C. 154(d)(4).              | `   |  |  |  |
| 7.   |             | Amendments to the claims of the   | International Application under PCT Article 19  | 9 (35 U.S.C. 371 (c)(3))                                |  |  |  |
|  |             | a.   are attached hereto (red   | quired only if not communicated by the Interna  | ational Bureau).  |  |  |  |
|  |             | b.  have been communicat  | ed by the International Bureau.                 |   |  |  |  |
|  |             | c. 🔲 have not been made; he   | owever, the time limit for making such amendr   | ments has NOT expired.                                  |  |  |  |
|  |             | d.  have not been made an   | d will not be made.                             |   |  |  |  |
| 8.   |             | An English language translation of  | of the amendments to the claims under PCT A     | Article 19 (35 U.S.C. 371(c)(3)).                       |  |  |  |
| 9.   | $\boxtimes$ | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |   |  |  |  |
| 10.  |             | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). |   |   |  |  |  |
| 11.  |             | A copy of the International Prelim  | inary Examination Report (PCT/IPEA/409).        |   |  |  |  |
| 12.  |             | A copy of the International Search  | n Report (PCT/ISA/210).                         |   |  |  |  |
| lte  | ems 1       | 3 to 23 below concern documen   | t(s) or information included:                   |   |  |  |  |
| 13.  |             |   | ment under 37 CFR 1.97 and 1.98.                |   |  |  |  |
| 14.  |             | An assignment document for reco   | ording. A separate cover sheet in compliance    | with 37 CFR 3.28 and 3.31 is included.                  |  |  |  |
| 15.  |             | A FIRST preliminary amendment   |   |   |  |  |  |
| 16.  |             | A SECOND or SUBSEQUENT preliminary amendment.   |   |   |  |  |  |
| 17.  |             | A substitute specification.   |   |   |  |  |  |
| 18.  |             | A power of attorney and/or chang  | e of address letter.                            |   |  |  |  |
| 19.  |             | A computer-readable form of the   | sequence listing in accordance with PCT Rule    | e 13ter.2 and 37 CFR 1.821 - 1.825.                     |  |  |  |
| 20.  |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |
| 21.  |             | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).                                   |   |   |  |  |  |
| 22.  |             | Express Mail Label No.  |   |   |  |  |  |
| 23.  | $\boxtimes$ | Other items or information:   |   |   |  |  |  |
|  |             | copy of this transmittal letter for c<br>by First Class Mailand a return p  |   | issing requirements as required, Certificate of Mailing |  |  |  |

PTO-1390 (Rev. 02-2005)
App. and for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| <i>, , , , , , , , , , , , , , , , , , , </i>  | U.S. APPLICATION  | U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. |                 | ATTORNEY'S DOCKET NUMBER                           |                        |                   |                          |              |     |
|--|---|--|-----------------|--|------------------------|-------------------|--------------------------|--------------|-----|
|  | 10/523,305 PCT/GB2003/003197  |  | PA0258          |  |                        |                   |                          |              |     |
|  | The following fees are submitted:   |  |                 |  |                        |                   | CALCULATIONS             | PTO USE      |     |
|  | 24. Basic nat   | tional fee   |                 |  |                        |                   | \$300                    | \$ \$0.00    |     |
|  | *******   |  |                 |  |                        | . \$100           | \$ \$0.00                |              |     |
|  | 26. Search fee  |  |                 |  |                        |                   |                          |              |     |
|  | Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   |  |                 |  |                        |                   | o<br>. \$100<br>. \$400  | \$ \$0.00    |     |
|  | TOTAL   | OF 24, 25 and  | 26 =            |  |                        |                   |                          | \$ \$0.00    |     |
| ·  | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |                 |  |                        |                   | (excluding<br>The fee is |              |     |
|  | Total Sheets  | Extra Sheets   |                 | of each additional 50 of<br>reof (round up to a wh |                        |                   | RATE                     |              |     |
|  | - 100 =   | 0 /50 =  |                 | 0  |                        | x                 | \$250.00                 | \$ \$0.00    |     |
|  | Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).   |  |                 |  |                        | \$ \$130.00       |                          |              |     |
|  | CLAIMS  | NUMBER F   | ILED N          | NUMBER EXTRA                                       |                        | RA                | TE                       |              | r   |
|  | Total claims  |  | - 20 =          | 0  | х                      |                   | \$50.00                  | \$ \$0.00    |     |
|  | Independent claim   | s  | - 3=            | 0  | x                      |                   | \$200.00                 | \$ \$0.00    |     |
|  | MULTIPLE DEPEN  | NDENT CLAIMS   | (if applicable) |  | +                      |                   | \$360.00                 | \$ \$0.00    |     |
|  |   |  | TOTA            | L OF ABOVE CA                                      | ALCI                   | ULA               | ATIONS =                 | \$ \$130.00  |     |
|  | Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |  |                 |  |                        | \$ \$0.00         |                          |              |     |
|  |   |  | SUBTOTAL =      |  |                        | \$ \$130.00       |                          |              |     |
| Processing fee of \$130.00 for furnishing the English translation later than 30 month the earliest claimed priority date (37 CFR 1.492(f)).  |   |  |                 |  | nths from              | \$ \$0.00         |                          |              |     |
|  | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +   |  |                 |  |                        | AL FEE =          | \$ \$130.00              |              |     |
|  |   |  |                 |  |                        |                   | \$ \$0.00                |              |     |
| 08/16/2005   | LLANDGRA 00000011   | 502590 1052  | 3305            | TOTAL FEE  | ES E                   | NC                | LOSED =                  | \$ \$130.00  |     |
| 01 FC:1617   | 130.00 DA   |  |                 |  |                        | Amount to be      | \$                       |              |     |
|  |   |  | ,               |  |                        |                   |                          | Amount to be | \$  |
| a.   A check in the amount of \$   |   |  |                 | to cover the a                                     | bove                   | fees              | is enclosed              |              |     |
| b. Please charge my Deposit Account No. 502-590 in the amount of \$130.00  |   |  |                 |  | \$130.00 to cove       | r the above fees. |                          |              |     |
| A duplicate copy of this sheet is enclosed.  c.   The Director is hereby authorized to charge any additional fees which may be required, or credit any   |   |  |                 |  |                        |                   | ayment                   |              |     |
| to Deposit Account No. 502-590 . A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become p information should not be included on this form. Provide credit card information and auti |   |  |                 |  |                        |                   |                          |              | ard |
|  |   |  |                 |  | and authorization on P | TO-2038.          |                          |              |     |
| . 2  | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) must be filed and granted to restore the International Application to pending status   |  |                 |  |                        | .137(a) or (b))   |                          |              |     |
| -  | SEND ALL CORRESPONDENCE TO:   |  |                 |  |                        | VILLOUNE          |                          |              |     |
|  | A marcham Biossianess Corn  |  |                 |  | SIGNATURE              |                   |                          |              |     |
|  | Patent Department Royal N   |  |                 |  | Royal N. F             | Ronning, Jr.      |                          |              |     |
|  | 800 Centennial Avenue NAME  |  |                 |  |                        | NAME              |                          |              |     |
|  | Piscataway, NJ 08855 32,529   |  |                 |  |                        | 32,529            |                          |              |     |
|  | (732) 457-8423 REGISTRA   |  |                 |  |                        |                   | TION NUMBER              |              |     |

| CERTIFICATE OF Applicant(s): Edward |                            | ST CLASS MAIL (37 CFR 1.8)  |                       | ket No.<br>10258 |
|-------------------------------------|----------------------------|---|-----------------------|------------------|
| Application No. 10/523,305          | Filing Date to be assigned | Examiner<br>to be assigned  | Customer No.<br>22840 | Group Art Unit   |
| Invention: STIMULATING AND R        | ECORDING DEVICE            |   |                       |                  |
|                                     |                            |   |                       |                  |
| I hereby certify that t             | his trans ltr w/copy, co   | opy of notice, surcharge, declaration and (Identify type of correspondence)     | l a return postcar    | d                |
|                                     |                            | stal Service with sufficient postage as<br>O. Box 1450, Alexandria, VA 22313-14 |                       |                  |
|                                     | August 8, 2005             | <del>_</del> .  |                       |                  |
|                                     | (Date)                     |   |                       |                  |
|                                     |                            | Melissa (Typed or Printed Name of Perso   |                       | tan a sh         |
|                                     |                            | (Typea or Frintea Name of Ferso   | on Maining Correspond | ence)            |
|                                     |                            |   |                       |                  |
|                                     |                            | (Signature of Person Mail   | ing Correspondence)   |                  |
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| <u>-</u>                            | Note: Each pa              | per must have its own certificate of mailing.                                   |                       | <del></del>      |
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS O. Doz 1450 Alexandra, Vinginia 22313-1450 www.ungbu.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/523,305

Edward C Conley

PA0258

INTERNATIONAL APPLICATION NO.

PCT/GB03/03197

I.A. FILING DATE

PRIORITY DATE

07/28/2003

07/30/2002

**CONFIRMATION NO. 7719** 

**371 FORMALITIES LETTER** 

\*OC000000016662330\*

22840 AMERSHAM BIOSCIENCES PATENT DEPARTMENT **800 CENTENNIAL AVENUE** PISCATAWAY, NJ 08855

Date Mailed: 08/01/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/31/2005
- Copy of the International Search Report filed on 01/31/2005
- Copy of IPE Report filed on 01/31/2005
- Preliminary Amendments filed on 01/31/2005
- Information Disclosure Statements filed on 01/31/2005
- Oath or Declaration filed on 01/31/2005
- Request for Immediate Examination filed on 01/31/2005
- U.S. Basic National Fees filed on 01/31/2005
- Priority Documents filed on 01/31/2005

| DUE DATE:    | 10CT 2005 |
|--------------|-----------|
| FORMALITIES: | MLV VE    |
| PAT. OFF:    | RF        |
| ON DB:       | 8AUG 2005 |
| CASE NO:     | PAOR58    |

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:





• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

#### PART 1 - ATTORNEY/APPLICANT COPY

| <br>                        |                               |                  |
|-----------------------------|-------------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
| 10/523,305                  | PCT/GB03/03197                | PA0258           |

FORM PCT/DO/EO/905 (371 Formalities Notice)

